



REGULATORY CIRCULAR (05-04)

To: All Floor Members and Clerks

From: John Boese

Date: March 23, 2005

Re: Surveillance Personnel Changes

As of Monday, March 21, 2005, Brian Colby, VP, Surveillance and Compliance has assumed a new role as Regulatory Project Manager, focusing on surveillance development.

Bruce Goodhue, Manager, Surveillance and Compliance, will be responsible for managing the surveillance and compliance team, and will be the primary contact for all surveillance and compliance related inquiries.

Please contact me at extension 2096 if there are any questions.