

## NASDAQ Announces Second List of Stocks to be Migrated to the Integrated Platform



**Please Route To:** Head Traders; Technical Contacts; Compliance Officers

### What you need to know:

- As announced in [Head Trader Alert #2006-131](#), NASDAQ plans to begin [systems integration](#) on Monday, October 16, 2006, with 20 NASDAQ-listed securities.
- NASDAQ will continue the integration on Monday, October 23, 2006, with 200 additional NASDAQ-listed securities.
- Corporate Action testing is scheduled for Thursday, October 12, 2006.
- NASDAQ has scheduled a User Acceptance Test (UAT) for Sunday, October 15, 2006.
- System integration [Frequently Asked Questions](#) related to downstream system implications, i.e., OATS, clearing and drop copies are available.

**Whom you should contact:** [NASDAQ Market Sales](#) at 800.846.0477.

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### What is the NASDAQ systems integration update?

As announced in [Head Trader Alert #2006-131](#), NASDAQ® plans to begin the [systems integration](#) of NASDAQ, Brut and INET on Monday, October 16, 2006, with 20 NASDAQ-listed securities. The integration will continue on Monday, October 23<sup>rd</sup> with 200 additional NASDAQ-listed securities.

The full NASDAQ-listed integration schedule is as follows:

- **October 16, 2006:** 20 NASDAQ-listed securities ([text](#) or [csv](#) format)
- **October 23, 2006:** 200 NASDAQ-listed securities ([text](#) or [csv](#) format)
- **October 30, 2006:** completion of integration for NASDAQ-listed securities

### How will NASDAQ test the effect of Corporate Actions?

NASDAQ will apply a corporate dividend of \$0.50 on symbol ZXZZT effective Thursday, October 12, 2006. Firms can enter quotes and GTC orders through Wednesday, October 11<sup>th</sup>. Firms should see their quotes and orders adjusted accordingly on Thursday.

### When will NASDAQ hold the next User Acceptance Test?

NASDAQ will hold a UAT Sunday, October 15<sup>th</sup>, from 9:00 a.m. to 12:30 p.m., ET. The list of 20 NASDAQ-listed stocks being transitioned on Monday, October 16<sup>th</sup> will be available for testing. This list is available on the NASDAQ Trader website in [text](#) or [csv](#) formats.

### What do I need to do to participate in the UAT on Sunday, October 15?

If you wish to participate in this test of the integrated systems, **please register by 12:00 p.m., ET, Thursday, October 12, 2006**, in one of the following ways:

1. Email your name and phone number to [OpsQa@nasdaq.com](mailto:OpsQa@nasdaq.com).
2. Call the NASDAQ Testing Facility (NTF) at 800.243.4284 (option #4).

The details of the UAT will be communicated closer to the testing date.

## Where can I find information on the downstream system implications of systems integration?

As announced on the market-wide call, NASDAQ has posted FAQs regarding downstream system implications, i.e., OATS, clearing and drop copies on the [systems integration page](#). Please note that [NASD OATS guidance](#) has not changed since it was issued on August 18, 2006. We strongly encourage you to review the [FAQs](#) and contact NASDAQ with any questions.

## Where can I get additional information?

- For more information on systems integration, refer to the [Frequently Asked Questions \(FAQs\)](#) on the NASDAQ Trader website.
- Contact [NASDAQ Market Sales](#) at 800.846.0477

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