

NASDAQ is Operational as an Exchange in NASDAQ-Listed Securities, Effective Today, August 1, 2006



Please Route To: Head Traders; Technical Contacts; Compliance Officers

What you need to know:

- Effective today, August 1, 2006, NASDAQ is operational as an exchange in NASDAQ-listed securities.
- [NASDAQ Manual Online](#), NASDAQ's rules manual, is now effective, and NASDAQ market participants will now receive regulatory news via [Regulatory Alerts](#).
- NASDAQ plans to become operational as an exchange in other exchange-listed securities on October 1, 2006.

Whom you should contact: [NASDAQ Market Sales](#) at 800.846.0477

What is NASDAQ's exchange status?

NASDAQ[®] is pleased to announce that effective today, August 1, 2006, NASDAQ is operational as an exchange in NASDAQ-listed securities. NASDAQ currently has approximately 718 exchange members.

NASDAQ plans to become operational as an exchange in other exchange-listed securities on October 1, 2006.

Where can I find resources related to NASDAQ's status as an exchange?

As announced in [Head Trader Alert #2006-061](#), NASDAQ is now operating as an independent self regulatory organization (SRO), separate from NASD, with its own rules manual, [NASDAQ Manual Online](#). Rules that have been previously available in the NASD Manual Online, such as Marketplace and Listing Rules, are now available within the NASDAQ Manual Online. Market participants will find the NASDAQ Manual Online to be very similar to that of NASD Manual Online — with the same organization, search capabilities and ease of navigation.

Also accessible via NASDAQ Manual Online will be a new NASDAQ email notification — [Regulatory Alerts](#). All news that is Regulatory in nature will be sent via Regulatory Alerts to Head Trader Alert subscribers. Head Trader Alerts will continue to be NASDAQ's primary communication vehicle for product and industry updates, information on current initiatives and other marketplace issues.

Market participants can continue to access information and updates on NASDAQ's exchange status, membership, fees and rules on the [NASDAQ Membership Information page](#) on the NASDAQ Trader[®] website.

Where can I find additional information?

- Refer to the [NASDAQ Membership Information page](#) on the NASDAQ Trader website.
- Contact [NASDAQ Market Sales](#) at 800.846.0477.

NASDAQ is the largest U.S. electronic stock market. With approximately 3,200 companies, it lists more companies and, on average, trades more shares per day than any other U.S. market. It is home to companies that are leaders across all areas of business including technology, retail, communications, financial services, transportation, media and biotechnology. NASDAQ is the primary market for trading NASDAQ-listed stocks. For more information about NASDAQ, visit the NASDAQ Trader website at www.NASDAQTrader.com.