

NASDAQ to Complete Systems Integration and Exchange Operation in Non-NASDAQ Listed Securities on February 12, 2007



Please Route To: Head Traders; Technical Contacts; Compliance Officers; NASDAQ Vendor Technical Contacts; NASDAQ Vendor Admin Contacts

What you need to know:

- As announced in [Head Trader Alert #2006-208](#), NASDAQ will complete [Exchange Operation](#) and [systems integration](#) in NYSE-, Amex- and regional-listed securities on a single date.
- NASDAQ is planning this implementation for **Monday, February 12, 2007**.
- NASDAQ will retire the BRUT and INET MPIDs and introduce a new clearing contra party, "NSDQ", effective **Thursday, March 1, 2007**.
- NASDAQ plans to hold a market-wide call to update customers; the date will be communicated when it becomes available.

Whom you should contact: [NASDAQ Market Sales](#) at 800.846.0477

When will NASDAQ complete Exchange Operation and systems integration?

As announced in [Head Trader Alert #2006-208](#), NASDAQ® will complete Exchange Operation and systems integration in NYSE-, Amex- and regional-listed securities on a single date. This implementation is planned for **Monday, February 12, 2007**.

Where can I find information on the retirement of the BRUT MPID as a clearing and OATS contra party?

Please refer to the [Brut MPID Retirement section](#) of the [Non-NASDAQ Listed Securities FAQs](#).

When will NASDAQ introduce NSDQ as the clearing contra party for all trades done on the integrated platform?

Effective **Thursday, March 1, 2007**, neither INET nor BRUT will be your clearing contra party. Your new clearing contra party will be NSDQ #0568.

Will NASDAQ hold a market-wide call?

Yes. NASDAQ will hold a market-wide call to further update customers on the implementation. The date will be communicated when it becomes available.

Where can I find additional information?

For questions and resource materials on systems integration:

- Visit the [NASDAQ Systems Integration page](#) on the NASDAQ Trader® website.
- Contact [NASDAQ Market Sales](#) at 800.846.0477.

For questions on Exchange Operation:

- Refer to the updated [FAQs](#).
- Visit the [NASDAQ Membership Information page](#) on the NASDAQ Trader website.

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