

NASDAQ Announces Testing Opportunities and Provides Updates for Changes to the Stock Symbol System Plan



Please Route To: Head Traders; Technical Contacts; Compliance Officers; Vendor Technical Contacts

What you need to know:

- As announced in [Head Trader Alert #2006-193](#), NASDAQ plans to have the capability to accept and distribute 1-, 2- and 3-character symbols for NASDAQ-listed and other exchange-listed securities, in addition to the 4-character symbols NASDAQ currently uses.
- NASDAQ has scheduled a User Acceptance Test (UAT) for 1-, 2- and 3-character NASDAQ-listed test stocks on **Saturday, January 27, 2007, from 9:00 to 11:30 a.m., Eastern Time (ET)**.
- NASDAQ strongly recommends that all impacted customers participate in this testing.

Whom you should contact: [NASDAQ Symbology Team](#)

What changes are being made to the stock symbol system plan?

As announced in [Head Trader Alert #2006-193](#), NASDAQ® plans to have the capability to accept and distribute 1-, 2- and 3-character symbols for NASDAQ-listed and other exchange-listed securities, in addition to the 4-character symbols NASDAQ currently uses.

What is the implementation and testing schedule for the stock symbol system changes?

To ensure a smooth transition, NASDAQ plans to implement the stock symbol system changes in phases:

- **January 27, 2007:** Saturday UAT for 1-, 2- and 3-character NASDAQ-listed test issues
- **February 1-16, 2007:** 1-, 2- and 3-character NASDAQ-listed test issues in production in the **after-hours trading session**.
- **February 20, 2007:** 1-, 2- and 3-character NASDAQ-listed test issues in production in the **regular-hours trading session**.
- **Currently scheduled for weekend of June 2, 2007:** NASDAQ-listed issues with a fifth-character will be converted to the ComStock suffix symbology. Please refer to the [Mapping of NASDAQ to ComStock Modifiers document](#) posted on the NASDAQ Trader® website.

Additional details on testing and implementation will be communicated as they become available.

What are the details of the UAT?

NASDAQ has scheduled a UAT for 1-, 2- and 3-character NASDAQ-listed test stocks on Saturday, January 27, 2007, from 9:00 to 11:30 a.m., ET. To participate in the UAT, please register by **12:00 p.m., ET, on Friday, January 26, 2007**, in one of the following ways:

1. Email your name and phone number to OpsOa@nasdaq.com.
2. Call the NASDAQ Testing Facility (NTF) at 212.231.5180.

Will there be an open conference call during the UAT?

Yes. Since the [systems integration UAT](#) will be taking place at the same time, the same conference call will be utilized for both UATs. The call details are:

Saturday, January 27, 2007; 9:00 a.m., ET

Leader: Todd Golub

Dial in: 800.619.8639

Passcode: Saturday Test

In addition, firms may call the following for assistance, as needed:

- NASDAQ Market Operations at 212.231.5100
- NASDAQ Technical Support at 212.231.5180

What will be available for testing?

Test Stocks (NASDAQ-listed):

- Z
- ZX
- ZZX

Connectivity Protocols:

- NASDAQ Workstation®
- NASDAQ FIX
- CTCI
- QIX
- OUCH
- INET FIX
- RASHport
- Brut FIX
- Drop copies

Data Feeds:

- SIP Feeds (UTDFSM and UQDFSM)
- TotalView®
- TotalView-AggregatedSM
- All TotalView-ITCH feeds (3.0, 2.0a, 2.0 and 1.0)
- NQDSSM
- NOISSM
- NOIViewSM

What is the testing schedule?

Time (ET)	Market Event
9:00 a.m.	System Open
9:30 a.m.	Opening Cross* — Market Open
11:00 a.m.	Closing Cross* — Market Close
11:30 a.m.	System Close

*Please note that only NASDAQ-listed securities are eligible to participate in the NASDAQ Opening and Closing CrossesSM.

Where can I get additional information?

- Refer to the [NASDAQ Stock Symbol System Plan page](#) on the NASDAQ Trader website for additional information and updates.
- Contact the [NASDAQ Symbology Team](#).
- Contact [NASDAQ Market Sales](#) at 800.846.0477.
- Contact [NASDAQ Market Data Distribution](#) at 301.978.5307.

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