

# Nasdaq Last Sale / Nasdaq Last Sale Plus Enterprise License Declaration Form

1. Will Nasdaq Last Sale / Nasdaq Last Sale Plus data be displayed on an open platform(s) (website, application, etc.) for use by the general investing public?

Yes  No

a. Are there any restrictions to accessing the data such as, for example, a user identification/password requirement, membership purchase, or purchase of an application?

Yes  No

b. If yes, please list them here:

2. Is the platform(s) for display purposes only?

Yes  No

3. Will Derived Data generated in whole or in part from Nasdaq Last Sale / Nasdaq Last Sale Plus be distributed on the below mentioned platform(s)?

Yes  No

a. If yes, please advise the specifics of the platform and requirements needed to gain access to Derived Data<sup>1</sup>:

<sup>1</sup> Derived Data is any information generated in whole or in part from Exchange Information such that the information generated cannot be reverse engineered to recreate Exchange Information or be used to create other data that is recognizable as a reasonable substitute for such Exchange Information.

4. Are there any trading capabilities on the platform(s) used to distribute Nasdaq Last Sale / Nasdaq Last Sale Plus?

Yes  No

a. If yes, please advise the specifics of the platform and requirements needed to gain access to trading capabilities:

5. Does the platform(s) used to distribute Nasdaq Last Sale / Nasdaq Last Sale Plus provide tools for Professionals or do you believe Professional Subscribers would use this platform(s) for Professional use?

Yes  No

a. If yes, please explain:

6. Does your entity or an affiliate fully control the access of the platform(s) listed below?

Yes  No

a. If so, please list and describe them here:

<b>Firm Name "Distributor":</b>	
<b>Name of System:</b>	

(Please complete the required [System Application form](#) for each new system)

I certify that the information I am providing in this document is true and accurate.

Signature:	
Print Name:	
Title:	
Date:	

**Nasdaq Approval:**

Signature:	
Print Name:	
Title:	
Date:	