

NASDAQ Dedicated OUCH Request Form



Please email the completed form to Subscriber@nasdaqomx.com or fax to +1 212 231 5426

Dedicated OUCH

Add Removal Modify

Subscribers Only

How many servers would you like to request? _____
(Maximum of 4 per firm)

How many sessions would you like to request on each server? _____
(Each server must have at least 1 port, and a maximum of 30)

Do you want to migrate existing sessions? _____
If yes, please provide the session(s) to migrate _____

**Please note that standard monthly OUCH port fees still apply.*

Removal Requests

Please provide the product and logon(s) for disconnect below:
Dedicated OUCH

_____	Reason For Removal: _____
_____	Reason For Removal: _____
_____	Reason For Removal: _____
_____	Reason For Removal: _____

Contact Information

Order Contact _____	Phone: _____	Email: _____
Business Contact _____	Phone: _____	Email: _____
Trader Contact _____	Phone: _____	Email: _____
Technical Contact _____	Phone: _____	Email: _____
Security Admin _____	Phone: _____	Email: _____

Billing Address

Street: _____	Phone: _____	Email: _____
Suite / Room #: _____	Phone: _____	Email: _____
City / State / Zip _____	Phone: _____	Email: _____

Additional Comments or Requests Notes

Order Authorization

Firm Name: _____	MPID/Firm ID: _____
Authorized Contact:(Please Print) _____	Date: _____
Authorized Contact: (Signature): _____	

* The automatic cancel feature is offered through FIX, RASHPort and OUCH implementation. You understand that NASDAQ OMX provides a best effort attempt to cancel orders upon disconnection and a sequenced logoff with OUCH 3.1/4.0 will not initiate a cancel on disconnect. In the event your connection is disconnected, nevertheless, you are required to call the NASDAQ Trade Desk at +1 212 231 5100 to status orders. There is no guaranty that the automatic cancel feature provided by NASDAQ OMX will be error free or operate without interruption. By signing below, you agree and acknowledge that NASDAQ OMX is not liable or responsible in any way for any orders, which may fail to be cancelled using the automatic cancel feature.

** Please refer to <http://nasdaqtrader.com/Trader.aspx?id=PriceListTrading2> for the latest price list.

(2) Attribution is only available to registered market makers.

All services and products requested on this form are governed by the terms in the NASDAQ OMX U.S. Services agreement http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nasdaq_access_agreement.pdf and the NASDAQ OMX Transaction Services Policies <http://www.nasdaqtrader.com/Content/AdministrationSupport/AgreementsTrading/NTSPolicies.pdf> document. If you do not receive a written confirmation from NASDAQ OMX within 3 business days that your request has been received and processed, please contact NASDAQ OMX Subscriber Services at 212 231 5180 or subscriber@nasdaqomx.com.

Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ OMX receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.

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Port Request Form Appendix

OUCH – Price Slide: Price sliding occurs on all NASDAQ OMX® markets when an incoming, bookable order would lock or cross a protected quote at another market center. Price sliding allows orders submitted at impermissible prices to be booked in a sensible and convenient way.

Price Slide Fact Sheet:

http://www.nasdaqtrader.com/content/ProductsServices/Trading/PriceSliding_factsheet.pdf

Self Match Prevention: Configurable on a port level, prevents orders sent with the same MPID from executing against each other. Can configure to cancel the oldest of two potentially matching orders or decrement the shares from

Self Match Prevention Fact Sheet:

<http://www.nasdaqtrader.com/content/productservices/trading/selfmatchprevention.pdf>

Investor Support Program (ISP): Qualifying firms are eligible for rebates when certain liquidity provision and execution requirements are met.

ISP Fact Sheet:

<http://www.nasdaqtrader.com/content/newsalerts/2012/eta/InvestorSupportProgramFS.pdf>

Fat Finger/Pre-Trade Risk Management (PRM): NASDAQ's risk management offers a variety of parameters to control order flow to mitigate risk and exposure.

PRM Page:

<http://www.nasdaqtrader.com/Trader.aspx?id=PRM>

Routing Capabilities: Routing is available on RASH, QIX and FIX connections, which allows orders to be sent to external market centers to access liquidity outside of NASDAQ's order book.

NASDAQ Routing Information:

<http://www.nasdaqtrader.com/Trader.aspx?id=routing>

Intermarket Sweep Orders (ISO): ISO orders will sweep the order book of the routing destination, regardless of the advertised NBBO. ISO orders can be sent for NASDAQ order books or to external markets as Directed ISO orders.

NASDAQ Routing Strategies and Order Types Guide:

http://www.nasdaqtrader.com/content/ProductsServices/Trading/Workstation/rash_strategy.pdf

QIX: QIX is designed specifically for management of proprietary Market Maker quotes while allowing for order entry and routing as well.

QIX Information Page:

<http://www.nasdaqtrader.com/Trader.aspx?id=QIX>

Connectivity Options: NASDAQ OMX offers the connectivity options of Co-locating their servers and equipment within the NASDAQ OMX Data Center, Direct Circuit connectivity, or connecting through an Extranet.

CoLo: <http://www.nasdaqtrader.com/Trader.aspx?id=colo>

Direct Connect: <http://www.nasdaqtrader.com/Trader.aspx?id=DirectConnect>

Extranets: <http://www.nasdaqtrader.com/content/ProductsServices/Trading/extranets.pdf>