## NASDAQOMXTRADER.COM

QUICK REFERENCE GUIDE

| INFORMATION/DATA                            | TOP NAVIGATION STEPS   |
|---|--|
| AGREEMENTS, REAL TIME OR<br>MARKET DATA.    | Admin/Subscriber Services <ul> <li>Market Data</li> <li>Agreements</li> </ul>  |
| AGREEMENTS, TRADING                         | Admin/Subscriber Services <ul> <li>Trading Products</li> <li>+ All Agreements &amp; Forms</li> </ul>   |
| ARCHIVE HEADLINES                           | News Alerts <ul> <li>Trading Products</li> <li>+ Equity Trader Alerts, Equity<br/>Technical Updates, Equity<br/>Regulatory Alerts</li> </ul> |
| BROKER DEALER DATA<br>DASHBOARD             | <ul> <li>Home, Product Login</li> <li>▶ Broker Dealer Data</li> <li>+ Historical Reports, Report<br/>Cards or Secure FTP Set-Up</li> </ul>   |
| CALENDAR<br>(TRADING HOLIDAYS)              | Technical Information <ul> <li>Trading Calendar</li> </ul>   |
| CONTACT LIST                                | Home<br>Contact Us   |
| CURRENT HEADLINES                           | News Alerts  |
| CLEARLY ERRONEOUS FORM                      | Membership/Regulation <ul> <li>Clearly Erroneous</li> </ul>  |
| DAILY LIST                                  | Home Page, Product Login <ul> <li>Daily List</li> </ul>  |
| DAILY MP POSITION<br>(PREVIOUS TRADING DAY) | Membership/Regulation <ul> <li>Regulatory Data</li> <li>Daily MP Position Report</li> </ul>  |
| DATA ONLINE SERVICES                        | Admin/Subscriber Services <ul> <li>Market Data Services</li> <li>Data Online Services</li> </ul>   |
| DATA NEWS, NASDAQ                           | News Alerts <ul> <li>Market Data</li> <li>Data News (formerly Vendor Alerts)</li> </ul>  |
| GLOBAL DATA PRODUCTS                        | Market Data<br>Data Products   |

| INFORMATION/DATA                      | TOP NAVIGATION STEPS  |
|---------------------------------------|---|
| MARKET DATA VENDORS                   | Technical Information <ul> <li>Connectivity</li> <li>Market Data Vendors</li> </ul>   |
| MARKET SHARE                          | Market Statistics <ul> <li>Performance Stats</li> </ul>   |
| MARKET STATISTICS, DAILY &<br>MONTHLY | Market Statistics <ul> <li>Market Activity Reports</li> <li>Daily Market or Monthly</li> <li>Market</li> </ul>  |
| MEMBERSHIP                            | Membership/Regulation <ul> <li>Membership</li> <li>+ NASDAQ, BX, NFX, NOM or<br/>PHLX</li> </ul>  |
| NASDAQ CROSSES                        | <ul> <li>Trading Products</li> <li>Crossing Networks</li> <li>+ Opening/Closing Cross or<br/>Intraday/Post-Close Cross</li> </ul>                                       |
| NFX OVERVIEW                          | Home, left side<br>Futures<br>+ NFX   |
| ONLINE VENDOR REPORTING<br>(OLIVER)   | Home, Product Login <ul> <li>Online Vendor Rtg</li> </ul>   |
| OPTIONS (NASDAQ, PHLX)                | Trading Products <ul> <li>Trading</li> <li>Trading Options</li> </ul>   |
| FX OPTIONS PRODUCT<br>SPECIFICATIONS  | <ul> <li>Trading Products</li> <li>Trading</li> <li>Trading Options</li> <li>PHLX Options</li> <li>FX Options</li> <li>FX Options Product<br/>Specifications</li> </ul> |
| PRICE LISTS, DATA PRODUCTS            | Admin/Subscriber Services <ul> <li>Trading Products</li> <li>+ Price List</li> </ul>  |
| PRICE LISTS, TRADING                  | Admin/Subscriber Services <ul> <li>Trading Products</li> <li>+ All Agreements &amp; Forms</li> </ul>  |

| INFORMATION/DATA   | TOP NAVIGATION STEPS  |
|--|---|
| PRODUCTS & SERVICES  | Trading Products  |
| REGULATION NMS   | Membership/Regulation <ul> <li>Reg NMS</li> </ul>   |
| REGULATION SHO THRESHOLD<br>LIST                             | Membership/Regulation<br><ul> <li>Reg SH0</li> <li>Reg SH0 Threshold List</li> </ul> FTP URL (same location): ftp://ftp.nasdaqtrader.com/ symboldirectory/regsho/ |
| REGULATORY TRANSACTION<br>FEE REPORT MONTHLY<br>SUBSCRIPTION | Home, Product Login <ul> <li>Reg. Transaction Fee</li> </ul>  |
| REPORT SOURCE  | <ul> <li>Trading Products</li> <li>NASDAQ Historical Reports</li> <li>+ Ad Hoc Reports</li> </ul>   |
| SHORT INTEREST   | Membership/Regulation <ul> <li>Regulatory Data</li> <li>Short Interest Data</li> </ul>  |
| SPECIFICATIONS,<br>DATA FEEDS                                | Technical Support<br>▶ Market Data<br>+ Data Feeds  |

| INFORMATION/DATA                    | TOP NAVIGATION STEPS   |
|-------------------------------------|--|
| SPECIFICATIONS,<br>TRADING PRODUCTS | Technical Support<br>► Trading Products<br>+ Specifications  |
| SPECIFICATIONS,<br>WEB REPORTS      | Technical Support <ul> <li>Market Data</li> <li>Reports</li> </ul>   |
| SYMBOL DIRECTORY/LOOK-UP            | Home Page, Right Side  |
| SYSTEM STATUS                       | Home Page, Left Side   |
| TOP OF PHLX OPTIONS- TOPO           | <ul> <li>Trading Products</li> <li>Trading</li> <li>Trading Options</li> <li>Market Data</li> <li>PHLX Options Market</li> <li>Top of Market Feed</li> </ul> |
| TRADING, ON NASDAQ AND BX           | Trading Products<br>► Trading<br>+ Trading on NASDAQ<br>+ Trading on BX  |
| TRADING HALTS                       | Membership/Regulation <ul> <li>Trading Halts</li> </ul>  |

