

**NASDAQ InterACT** is a real-time compliance tool that assists firms with supervision of trade activity reported to the FINRA/NASDAQ Trade Reporting Facility(FINRA™ TRF). InterACT summarizes and consolidates data for your over the counter trade reports, helping you avoid regulatory fines.

<b>InterACT Data Fields and Definitions</b>		
<b>Data Field</b>	<b>Display Length</b>	<b>Definition</b>
Type		Trade Stats  All Open=(are we going to provide definition?) As Contra Party (not yet matched) - Trade Date Only= As Contra Part (not yet matched) – As Of As Contra Part (not yet matched) – Step Outs Trades Responded Beyond 20 Minutes Trades Matched Beyond 20 Minutes NMS-All Late Trades User Marked Late Trades System Marked Late Trades Total Trades Accepted Matched Declined Cancelled All As/Of As/Of Reversals No/Was Step Outs Breaks One-Sided Breaks-All My Trade Side Broken Contra Side Broken
Issue	4	Symbol of the issue.
MPID	4	Reporting MPID - 4 Character MPID
RptEXID	4	Executing ID (MPID) of Reporting Party – This is the MPID of the firm responsible for reporting the Trade.  4 Character MPID
Contra	4	Contra MPID - 4 character MPID
C EXID	4	Executing ID (MPID) of Contra Party  4 Character MPID
RptDate	6	Trade Report Date

		MMDDYY
RptTime	6	Trade Report Time  HHMMSS
ExeTime	6	Trade Execution Time  HHMMSS
EntVol	9	Entered Quantity
EntPX	10(5V5)	Entered Unit Price
AccptTm	12	Accept Time  Time associated with the contra acceptance of the trade. This field may be null in situations where the trade report is automatically submitted by the NASDAQ execution system to the NASDAQ Exchange.  HHMMSS  The field can contain up to 12 characters, but at this time only the first 6 will be populated.
DclnTm	12	Decline Time  Time associated with the contra declining the trade. This field may be null in situations where the trade report is automatically submitted by the NASDAQ execution system to the NASDAQ Exchange.  HHMMSS  The field can contain up to 12 characters, but at this time only the first 6 will be populated.
StepOut	1	The ACT service provides a Step-Out transaction indicator that allows members to identify "Step-Out clearing only entries submitted to ACT for comparison, clearance, and settlement through NSCC. These entries will not be reported to the tape or disseminated to the media.  F = NASDAQ Fee Transfer O = Non-NASDAQ Fee Transfer Q = Step Out occurred on NASDAQ Y = a "special trade" ad instructs the NSCC not to include the trade in CNS settlement. S = a "Step Out" trade X = a "special and Step-out trade" and instructs the NSCC not to include the trade in CNS settlement. Null = the transaction is not step-out transaction

SaleCND1	4	<p>Sales Condition Modifier 1 - (Settlement)</p> <p>@ - Regular  C - Cash  N - Next Day  R - Seller  Y - Yellow flag regular trade. Not a broker eligible value.</p>
SaleCND2	4	<p>Sales Condition Modifier 2 - (Trade thru exempt)</p> <p>BLANK - No exemption  F or 3 - Intermarket Sweep (<i>Exception: the value of 3 will not be sent to the SIP. A value of "F" or "3" can be provided; however the value of "3" will be sent to the SIP as a value of "F".</i>)  J - Sub Penny (Exception: not sent to the SIP)  O - Market Center Open. Not a broker eligible value.  V - Contingent Trade (Exception: not sent to the SIP)  2 - Self Help (Exception: not sent to the SIP)  4 - Derivatively Price  5 - Market Center reopen. Not a broker eligible value.  6 - Market Center Closing. Not a broker eligible value.  7 - Error Correction ()  8 - Print Correction (9 - Reserved)</p>
SaleCND3	4	<p>Sales Condition Modifier 3 - (Extended Hrs/ Sequence Type)</p> <p><b>Allowed Values:</b>  BLANK - Not extended or sequence  L - Sold Last (Late reporting) - Not a broker eligible value. Please note as of 11/1/2010, late trading reporting is now 30 seconds after trade execution time.  T - Pre/Post Market Trade  U - Pre/Post Market Sold Out of Sequence  Z - Sold -</p>
SaleCND4	4	<p>Sales Condition Modifier 4 - (SRO Required Detail)</p> <p>BLANK - No unusual trade detail  1 - Average Price Trade or Stop Stock Trades (<i>Exception the "1" value <b>is not sent to the SIP:</b> This value is provided when a "W" for UTP Securities or "B" for CQS-Listed Securities is entered in the OrigMod field. The "W" or "B" <b>is sent to the SIP.</b></i>)  A - Acquisition  B - Bunched  D - Distribution</p>

		<p>E – Automatic Execution  G – Opening / Reopening Detail Bunched Sold  H – Price Variation Trade  I – CAP Election Trade  K – Rule 155 Amex / 127 NYSE  M – Market Center Close price  P – Prior reference Price  Q – Market Center Open Price  R - Identifies a trade at a price that is substantially un-related to the current market price. (Exception: not sent to the SIP)  S – Split Trade  W – Average Price Trade or Stop Stock Trades (UTP Securities)  X -Identifies a trade that was effected pursuant to the exercise of an OTC option. (Exception: not sent to the SIP)</p> <p>These values will appear for NASDAQ-listed securities that did not participate in either the Opening or Closing Cross, respectively, for the trade date.</p> <p>Q = The trade set the NASDAQ Official Opening Price (NOOP)  M = The trade set the NASDAQ Official Closing Price (NOCP)</p>
OrigMod	4	<p>Trade reporting modifiers as originally entered by the trade reporting organization.</p> <p><b>The values in this field are submitted by the reporting organization and are NOT the values sent to the Securities Information Processor (SIP).</b></p>
B/S/X	1	<p>Side of the trade</p> <p>B = Buy  S = Sell  X = Cross</p>
Cntrl#	12	<p>Control Number. Please note this field will be included for any report requested regardless of trade date.</p>
LastTime	6	<p>Indicates the last update time of the trade.</p> <p>HHMMSS</p>