

## Attn: Manager P&S Dept./Traders/Cashier/Manager Reorganization/Manager Dividends UNIFORM PRACTICE ADVISORY (UPC # 038-2000) April 26, 2000

## Athanor Group, Inc., New Common Stock (AGIA)

On February 4, 2000 the above company effected a 1 for 800 reverse split of its common stock immediately followed by a 400 for 1 forward split. For positions evenly divisible by 800, the net effect was a 1 for 2 reverse split. (Example: 800 old shares  $\mathfrak{D}$  800 =1 new share x 400 = 400 total new shares.)

However, for those positions not evenly divisible by 800, the company will pay cash in lieu at \$2.51 per old share. Therefore, a holder of 2000 shares should receive 800 new shares plus \$1,004 cash in lieu of 400 old shares. (Example: 2000 O 800 =2 new [1600 old]; balance of 400 paid in cash; 2 new x 400 = 800 total new shares.)

Members are advised to update their records and internal bookkeeping systems to reflect the proper calculations for this corporate action.

## PLM Equipment Growth Fund III, Limited Partnership Units (GFZPZ) PLM Equipment Growth Fund I, Limited Partnership Units (GFXPZ)

PLM Equipment Growth Fund announced that they ceased accepting the assignment of limited partnership interests (transfers) resulting from the sales of such interests on its Equipment Growth Fund III as of April 18, 2000 and on its Equipment Growth Fund I as of April 20, 2000. The terminations of transfers, for the remainder of 2000, are the result of these Partnerships approaching the "5% safe harbor limitation" under IRS Notice 88-75 which stipulates that the Partnerships will not be taxed as a publicly traded partnership provided that the number of units traded during any one calendar year does not exceed 5% of the total units outstanding.

Members are advised to update their records and internal systems to reflect the above action.

Questions regarding this notice should be directed to: Market Data Integrity Department, (203) 375-9609.

Dorothy L. Kennedy Director