

PSX Equities Port Request Form



Please email the completed form to Subscriber@nasdaqomx.com or fax to +1 212 231 5426

Order Type

- Add Upgrade
 Add - FIX/RASH Port Promotion ⁽⁴⁾ Removal - (Please see second page)

Connectivity

Which service provider will you be using?

- Co-Lo CPC VPN Extranet 10gb - Direct Connect 10gb - Colo

If you have selected VPN, do you require access to the Primary and Backup data center? Yes No

Equities Trading: Order Entry / Reporting

- OUCH 4.2 TCP FIX 4.2 RASH Port 1.1
 OUCH 4.2 UDP FIX 4.1 RASH Port 1.0
 OUCH 4.1 TCP
 OUCH 4.1 UDP
 OUCH 4.0 TCP
 OUCH 4.0 UDP
 OUCH 3.3
 OUCH 3.2

How many sessions would you like to request?
(Default: 1) _____

Do you want to copy or upgrade an existing account? If yes, please provide the session to copy and the details below can be omitted.

Has this version of your front-end application been certified?

- Yes No

What type of connection do you want to order?

- Test ⁽¹⁾ Production NTF

(1) The Test option above is only applicable to order entry equity ports.

Do you want to reject ISO orders?

- Yes No

OUCH Only Price Slide

- Do Nothing **(default)** Cancel Order Cancel / Re-Enter Order

Self Match Prevention (by MPID)

- Yes No

FIX / RASH Only Do you want routing capabilities?

- Cancel oldest Decrement both sides

- Yes No

What MPID will be giving up on this port (i.e. NQPX)? _____

What clearing number will the giveup MPID clear? _____

What is the desired max order size? **(Default: 25,000)** _____

What is your source IP address? _____

OUCH / FIX Only ⁽²⁾ Do you want to have all orders cancelled on disconnect?

- Yes No

Equities Trading: Drop Copy

- Drop 2.2 (OUCH Only) RASH Drop (RASH Only) FIX Drop (OUCH Only) FIX Drop (RASH Only)
- Drop 2.1 (OUCH Only)

Please provide the MPID(s) you would like to drop. _____

FIX Only Please provide the Sendercomp(s) you would like to drop. _____

Please select the messages you wish to receive on the drop session. (Check all that apply)

- Accepts Breaks Cancels Executions Rejects (**FIX Only**)

FIX Only Please select the protocol version applicable: FIX 4.0 FIX 4.1 FIX 4.2

Removal Requests

Please provide the product and logon(s) for disconnect below:

- OUCH FIX Port RASHPort DROP

Reason For Removal: _____

Contact Information

Order Contact _____	Phone: _____	Email: _____
Business Contact _____	Phone: _____	Email: _____
Trader Contact _____	Phone: _____	Email: _____
Technical Contact _____	Phone: _____	Email: _____
Security Admin _____	Phone: _____	Email: _____

Billing Address

Street: _____	Phone: _____	Email: _____
Suite / Room #: _____	Phone: _____	Email: _____
City / State / Zip _____	Phone: _____	Email: _____

Additional Comments or Request Notes

Order Authorization

Firm Name: _____	MPID/Firm ID: _____
Authorized Contact:(Please Print) _____	Direct Debit Clearing # _____
Signature: _____	Date: _____

(2) The automatic cancel feature is offered through FIX and OUCH implementation. You understand that NASDAQ OMX provides a best effort attempt to cancel orders upon disconnection and a sequenced logoff with OUCH 3.1/4.0 will not initiate a cancel on disconnect. In the event your connection is disconnected, nevertheless, you are required to call the NASDAQ Trade Desk at +1 212 231 5100 to status orders. There is no guaranty that the automatic cancel feature provided by NASDAQ OMX will be error free or operate without interruption. By signing below, you agree and acknowledge that NASDAQ OMX is not liable or responsible in any way for any orders, which may fail to be cancelled using the automatic cancel feature.

(3) Please refer to <http://nasdaqtrader.com/Trader.aspx?id=PriceListTrading2> for the latest price list.

(4) ETA2011-72 - Please see link for details: <http://www.nasdaqtrader.com/TraderNews.aspx?id=ETA2011-72>

All services and products requested on this form are governed by the terms in the NASDAQ OMX U.S. Services agreement http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nasdaq_access_agreement.pdf and the NASDAQ OMX Transaction Services Policies <http://www.nasdaqtrader.com/Content/AdministrationSupport/AgreementsTrading/NTSPolicies.pdf> document. If you do not receive a written confirmation from NASDAQ OMX within 3 business days that your request has been received and processed, please contact NASDAQ OMX Subscriber Services at 212 231 5180 or subscriber@nasdaqomx.com.

Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ OMX receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.