

# PSX Equities Port

## Request Form

Please email the completed form to [subscriber@nasdaq.com](mailto:subscriber@nasdaq.com) or fax to +1 212 231 5426.

### Order Type

Add
  Removal - (Please see second page)
  Modify - Please list ports to modify below

Ports to Modify	
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### Sponsored Access (required)

Is your member organization planning to use this port for Sponsored Access? <sup>1</sup>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, provide the name of the party that is being sponsored by your firm.		

### Connectivity

Which service provider will you be using?	<input type="checkbox"/> Co-Lo	<input type="checkbox"/> Extranet
	<input type="checkbox"/> Direct Connect/POP	

OUCH 4.2 TCP
  OUCH 4.1 TCP
  Flite
  FIX 4.2  
 FIX 4.1
  RASHPort 1.1
  RASHPort 1.0

How many sessions would you like to request? <b>(Default: 1)</b>	
Do you want to copy or modify an existing account?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please provide the session to copy and the details below can be omitted.	
Has this version of your front-end application been certified?	<input type="checkbox"/> Yes <input type="checkbox"/> No
What type of connection do you want to order?	<input type="checkbox"/> Test <sup>2</sup> <input type="checkbox"/> Production <input type="checkbox"/> NTF
Would you like to request a Chicago Backup/DR port?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you want to reject ISO orders?	<input type="checkbox"/> Yes <input type="checkbox"/> No

**OUCH Only** Post Only  Do Nothing **(default)**  Cancel Order

**OUCH Only** Price Slide  Yes  No  Do Nothing **(default)**  
 Cancel Order  Cancel / Re-Enter Order

**Self Match Prevention** (by MPID)  
 Yes  No  Decrement both sides  Cancel oldest  Cancel newest

**FIX / RASH Only**

Do you want routing capabilities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
What MPID will be giving up on this port (i.e. NQPX)?	
<b>Do you want to enable Attribution on the MPID?</b> <sup>3</sup>	<input type="checkbox"/> Yes <input type="checkbox"/> No
What clearing number will the giveup MPID clear?	
What is the desired max order size? <b>(Default 25,000)</b>	
What is your source IP address?	

**OUCH/FIX/RASH Only**<sup>4</sup>

Do you want Cancel on Disconnect Enabled?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, select one below:	
<input type="checkbox"/> Cancel All <input type="checkbox"/> Keep Cross <input type="checkbox"/> Keep GTC (FIX, RASH only) <input type="checkbox"/> Keep GTC & Cross ( FIX, RASH Only)	

**Other Settings**

Post Only  RMO  Use PassportBehavior  Splitsymbols  
 SendingPendingAck  SendCancelAck  Use Milliseconds  SendReplaceAck  
 SupressAck

DefaultOTCRoute			
DefaultNYSERoute		DefaultAMEXRoute	

## Equities Trading: Drop Copy

- Drop 2.2 (OUCH Only)   
  Drop 2.1 (OUCH Only)   
  RASH Drop (RASH Only)  
 FIX Drop (OUCH Only)   
  FIX Drop (RASH Only)

Please provide the MPID(s) you would like to drop.	
<b>FIX Only</b> Please provide the Sendercomp(s) you would like to drop.	
Please select the messages you wish to receive on the drop session. (Check all that apply)	<input type="checkbox"/> Accepts <input type="checkbox"/> Breaks <input type="checkbox"/> Cancels <input type="checkbox"/> Executions <input type="checkbox"/> Rejects ( <b>FIX Only</b> )
<b>FIX Only</b> Please select the protocol version applicable:	<input type="checkbox"/> FIX 4.0 <input type="checkbox"/> FIX 4.1 <input type="checkbox"/> FIX 4.2

## Removal Requests

Please provide the product and logon(s) for disconnect below:

- OUCH   
  FIX Port   
  RASHPort   
  DROP

	Reason For Removal
	Reason For Removal
	Reason For Removal
	Reason For Removal

## Contact Information

	Contact Name	Phone	Email
Order			
Business			
Trader			
Technical			
Security Admin			

## Billing Address

Phone		Email	
Street/Room #			
City / State / Zip			

## Additional Comments and/or Request Notes

## Order Authorization

Firm Name		MPID/Firm ID	
Authorized Contact: (Please Print)		Direct Debit Clearing #	
Signature		Date	

1. NASDAQ PHLX LLC Rule 1094 and The NASDAQ Stock Market LLC and NASDAQ BX, Inc. Rules 4615 allow market participants to enter into sponsored access relationships. Sponsored Access is defined in these rules as an arrangement whereby a member, member organization or Participant permits its customers to enter orders into the exchange's trading system that bypass the member's, member organization's or Participant's trading system and are routed directly to the exchange, including routing through a service bureau or other third party technology provider. If you have a sponsored access arrangement, you are required to disclose that relationship by sending an email to [membership@nasdaq.com](mailto:membership@nasdaq.com). Please indicate the party that is being sponsored by your firm and on which market. This information is utilized for both regulatory and operational information in granting access to these markets.
2. This test option is only applicable to order entry equity ports.
3. Attribution is only available to registered market makers.
4. The automatic cancel feature is offered through FIX, RASHport and OUCH implementation. You understand that Nasdaq provides a best effort attempt to cancel orders upon disconnection. In the event your connection is disconnected, nevertheless, you are required to call the Nasdaq Trade Desk at +1 212 231 5100 to status orders. There is no guarantee that the automatic cancel feature provided by Nasdaq will be error free or operate without interruption. By signing below, you agree and acknowledge that Nasdaq is not liable or responsible in any way for any orders, which may fail to be cancelled using the automatic cancel feature.

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Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that Nasdaq receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.

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