



# Daily Share Volume Report Data Release Form

Pursuant to the U.S. Services Agreement (“Agreement”) entered into by the Subscriber listed on the signature page (“Subscriber”) and Nasdaq, Inc. (“Nasdaq”), Subscriber has granted Nasdaq a license to receive and use Subscriber’s Data within Nasdaq data products.

In accordance with Section 2(F)(ii) of the Agreement, Subscriber hereby consents to the use of Subscriber’s Data within one or more reports (each, a “Report”) as indicated in the table below, including the disclosure of Subscriber’s identity as a market participant in the System(s) and Subscriber’s historical trading activity (collectively, “Covered Data”) within each Report. Covered Data shall exclude pre-trade or post-trade information regarding specific orders or executions.

In consideration for the premises and mutual covenants and conditions contained herein, by signing this data release form (the “Release”), Subscriber agrees and acknowledges that: (i) Subscriber releases Nasdaq from any obligation of confidentiality regarding the Covered Data; (ii) Subscriber’s identity will no longer remain anonymous [to the extent reflected in Covered Data](#); (iii) this Release constitutes notice prior to initial distribution and written authorization from Subscriber within the meaning of the Agreement(s); and (iv) Covered Data shall be deemed to be “Subscriber Data” under the Agreement and all provisions governing Subscriber Data shall hereafter apply to the Covered Data. Subscriber may withdraw consent to participate at any time by submitting [[Opt Out Form](#)] in accordance with the Agreement.

All terms and conditions used herein without specific definition shall have the same meaning as in the Agreement. The Agreement remains in full force and effect.

Customer consents to the inclusion of Covered Data in the Reports identified below:

| Exchange:                        | Report   | Participate?<br>(Y/N)   | All MPIDs Subscriber<br>would like to include<br>in the Report (List) | Contact Information<br>if requesting additional<br>information |
|----------------------------------|--|---|---|--|
| Non-NASDAQ Listed<br>(NYSE/Amex) | Daily Aggregated Market<br>Participant Share Volume<br><br>Aggregated volume<br>across composites,<br>indexes or sectors,<br>released the following<br>trading day | <input type="checkbox"/> Yes<br><br><input type="checkbox"/> No | MPID: _____   | Name:<br><br>Email:<br><br>Phone:                              |
| NASDAQ-Listed                    | Daily Aggregated<br>Market Maker<br>Share Volume<br><br>Aggregated volume<br>across composites,<br>indexes or sectors,<br>released the following<br>trading day    | <input type="checkbox"/> Yes<br><br><input type="checkbox"/> No | MPID: _____   | Name:<br><br>Email:<br><br>Phone:                              |



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|                                  |   |   |             |                                   |
|----------------------------------|---|---|-------------|-----------------------------------|
| Non-NASDAQ Listed<br>(NYSE/Amex) | Daily Market Participant<br>Share Volume by Symbol<br><br>• Total day's volume by<br>symbol, released the<br>following trading day<br><br>Participants may provide<br>a list of symbols | <input type="checkbox"/> Yes<br><br><input type="checkbox"/> No | MPID: _____ | Name:<br><br>Email:<br><br>Phone: |
| NASDAQ-Listed                    | Daily Market Maker<br>Share Volume by Symbol<br><br>• Total day's volume by<br>symbol, released the<br>following trading day<br><br>Participant may provide<br>a list of symbols        | <input type="checkbox"/> Yes<br><br><input type="checkbox"/> No | MPID: _____ | Name:<br><br>Email:<br><br>Phone: |

**Please return completed Release to your Nasdaq Account Manager.**

|                    |  |
|--------------------|--|
| <b>Signature:</b>  |  |
| <b>Print Name:</b> |  |
| <b>Title:</b>      |  |
| <b>Date:</b>       |  |