

GLOBAL ACCESS

Compliance Services

NASDAQ OMX® Global Access offers select content-owners the opportunity to leverage one of the largest and most successful data distribution organizations in the world, NASDAQ OMX Global Data Products. By utilizing our administrative processes, seasoned staff and valuable relationships, Global Access provides customers a turn-key connection to a premier data business.



COMPLIANCE SERVICES

NASDAQ OMX recognizes that market data is a valuable commodity and strongly believes in helping customers achieve successful data distribution and usage compliance. The NASDAQ OMX Global Data Products' audit team has a proven track record in identifying data non-compliance. Our highly-efficient team works closely with firms to proficiently gather information and ensure market data conformity. Work with NASDAQ OMX to:

- > **Reduce Administrative Costs**
Avoid unnecessary costs and overhead associated with developing and managing an in-house compliance team. Our experienced team can supplement or handle all of your compliance needs at a minimal cost.
- > **Save Time**
With a vast global network of data distributors and end-users, it is likely that our audit targets overlap with yours, so we can save you the time, effort and cost of conducting the reviews yourself. Additionally, we can provide a "Post-Audit Summary" to report compliance findings.

- > **Maintain Strong Relationships**
Keep close professional relationships with your customers through comprehensive yet collaborative third-party audits. NASDAQ OMX is focused on customer service and we are dedicated to helping customers report usage properly.
- > **Increase Compliance**
Help your customers comply with usage reporting policies through efficient NASDAQ OMX processes and effective relationship management. We also offer advisory services regarding structuring systems, agreements, entitlements, policy concepts and product ideas.

FLEXIBLE COMMERCIAL TERMS

We recognize that each Compliance Service client is unique, thus each Global Access agreement is customized to meet your firm's unique business goals. Contact Global Data Products at **+1 301 978 5307** or dataproduts@nasdaqomx.com to discuss how our compliance team can assist you.

More Information

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