

# Nasdaq Canada Non-Display Data Declaration Form

This Form provides essential data usage information required by the NASDAQ Canada Agreement and related NASDAQ Canada Distributor/User agreements. For more information and pricing on Canadian Equities please visit the [Nasdaq Canada website](#).

Customer Name (Company Name) – (Required)

\_\_\_\_\_  
(PRINT COMPANY NAME)

\_\_\_\_\_  
TELEPHONE NUMBER

\_\_\_\_\_  
NASDAQ BILLING ACCOUNT NUMBER

\_\_\_\_\_  
CONTACT NAME (PRINT)

\_\_\_\_\_  
EMAIL ADDRESS

\_\_\_\_\_  
DATE

The information provided on this form will be used to assess fees for the usage of Nasdaq Canada data. Please submit this form to [Ca.Marketdata@nasdaq.com](mailto:Ca.Marketdata@nasdaq.com). Additional detail on Non-Display fees can be found here: <http://www.nasdaqtrader.com/TraderNews.aspx?id=dn2019-1>

Please select the box that identifies whether your firm uses Nasdaq Canada data for Display Usage only or whether any data is used for a Non-Display purpose:

DISPLAY USAGE ONLY	<input type="checkbox"/>
NON-DISPLAY USAGE	<input type="checkbox"/>

If Non-Display has been selected, with or without any associated Display Usage, please continue:

## Non-Display Definition

Non-Display is any method of using Nasdaq Information that involves access or use by a machine or automated Device for Trading or Analysis Usage that is not solely used for the display of information to a natural person or persons. Applications are classified as relating to either trading or analysis and the fees apply accordingly.

## Rules:

1. The fees for accessing Nasdaq Canada Level 2 data on a Non-Display basis include access to Nasdaq Canada Level 1 data.

2. The fees for accessing Nasdaq Canada data on a Non-Display basis for trading purposes also cover Non-Display usage for analysis purposes.

## Trading Usage

Trading Usage is defined as the use of real-time market data in programs or applications which generate orders or order recommendations in an automated manner.

Trading applications include but are not limited to:

- Smart order routers, execution algorithms, arbitrage strategies and market making strategies, workbook software and applications and third party software and applications with auto-quoting/pegging, automated conditional orders, or complex order chain building.

## Analysis Usage

Analysis Usage is defined as the use of real-time market data in analysis programs or applications that may lead to or contribute to purchases, sales or other trading decisions.

Analysis applications include but are not limited to:

- Risk management systems, surveillance programs, storing of real-time market data for historical data testing purposes and calculation of derived market data.
- For avoidance of doubt, Analysis Usage includes any access or use by a machine or automated device that is not solely for display to a natural person or persons and is not used for trading purposes.

## Nasdaq Canada Non-Display Licenses

<b>Nasdaq Canada CXC Non-Display Licenses</b>	<b>Add</b>
<b>Level 1 CXC</b>	
Nasdaq Canada Level 1 CXC Non-Display Trading	<input type="checkbox"/>
Nasdaq Canada Level 1 CXC Non-Display Analysis	<input type="checkbox"/>
<b>Level 2 CXC</b>	
Nasdaq Canada Level 2 CXC Non-Display Trading	<input type="checkbox"/>
Nasdaq Canada Level 2 CXC Non-Display Analysis	<input type="checkbox"/>
<b>Nasdaq Canada CX2 Non-Display Licenses</b>	<b>Add</b>
<b>Level 1 CX2</b>	
Nasdaq Canada Level 1 CX2 Non-Display Trading	<input type="checkbox"/>
Nasdaq Canada Level 1 CX2 Non-Display Analysis	<input type="checkbox"/>
<b>Level 2 CX2</b>	
Nasdaq Canada Level 2 CX2 Non-Display Trading	<input type="checkbox"/>
Nasdaq Canada Level 2 CX2 Non-Display Analysis	<input type="checkbox"/>

## Application Signature

I (we) certify that the information provided on this Nasdaq Canada Non-Display Data Declaration Form is accurate.

<b>Signature:</b>	
<b>Print Name:</b>	
<b>Title:</b>	
<b>Date:</b>	

Optional:

<b>Signature:</b>	
<b>Print Name:</b>	
<b>Title:</b>	
<b>Date:</b>	

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