

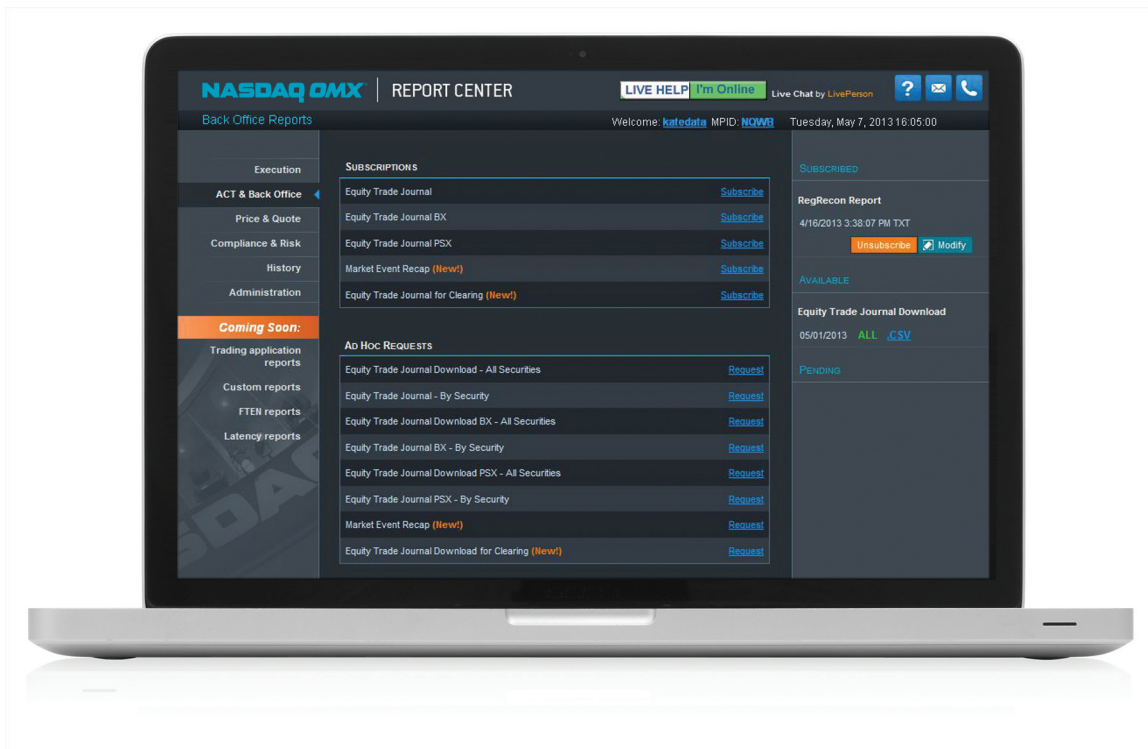
REPORT CENTER

CONVENIENT ACCESS TO HISTORICAL REPORTS

Report Center is a central platform providing easy access to historical reports from NASDAQ OMX systems and applications. Reports are available on a daily subscription and ad-hoc basis through direct download from the web portal or secure FTP.



- ▶ Up to five years of historical information at your fingertips
- ▶ Efficient, centralized access for all of the reports you need: price, quote, execution, ACT, back-office, compliance and risk
- ▶ Enhanced visibility across your firm’s trading activities throughout the trade lifecycle
- ▶ Flexible subscription model enabling you to subscribe to only the reports you need at the timeframes you require



REPORTS

EXECUTION REPORTS

Includes reports from The NASDAQ Stock Market such as daily execution volume summaries and daily routing strategy summaries.

ACT & BACK OFFICE REPORTS

Detailed transaction history for use in compliance, reconciliation, research and Books and Records.

PRICE & QUOTE REPORTS

Summaries of Time and Sales and market maker quotes.

COMPLIANCE & RISK REPORTS

Reports for tracking compliance with industry rules and monitoring risk.

NEW REPORTS

REAL-TIME MARKET MAKER POSITION REPORT	This report provides a list of all registered positions in NASDAQ and other exchange-listed securities for a specific MPID. It provides a solution for tracking market maker positions and quotes.
HISTORICAL QVIEW	New Execution Reports provide historical recaps of the real-time execution data available in NASDAQ OMX QView. View an overall summary with all totals displayed by quantity, volume or dollar value.
EQUITY TRADE JOURNAL FOR CLEARING FIRMS	This report for clearing firms includes the current ETJ fields, as well as clearing number. This allows clearing firms to view all activity associated with their clearing number. Reports are broken down by MPID.
MARKET EVENT RECAP	The Market Event Recap report gives a summary of all market event alerts issued by NASDAQ OMX during the date requested. Events include system status, IPOs, halts, regulatory changes.

SUBSCRIBE

New customers can subscribe to Report Center via the Report Center Request Form on the NASDAQ OMX Trader® website.

BASIC SUBSCRIPTION

\$175/ month for 25 reports/ month

PREMIUM SUBSCRIPTION

\$225/month for 100 reports/ month

EQUITY TRADE JOURNAL FOR CLEARING FIRMS

ETJ for Clearing Firms is available as an add-on to Report Center or as a stand-alone subscription. Subscribers are assessed monthly fees based upon the tier they fall into for the month.

TIER	NUMBER OF CORRESPONDENT MPIDS	MONTHLY FEES
TIER 1	Daily Reports for 0-10 correspondent MPIDs	\$750/month
TIER 2	Daily Reports for 11-20 correspondent MPIDs	\$1,000/month
TIER 3	Daily Reports for 21-30 correspondent MPIDs	\$1,250/month
TIER 4	Daily Reports for 31-40 correspondent MPIDs	\$1,500/month
TIER 5	Daily Reports for 41 or more correspondent MPIDs	\$1,750/month

CONTACT

WWW.NASDAQOMXTRADER.COM/REPORTCENTER

ROBBIN RICHEY
ROBBIN.RICHEY@NASDAQOMX.COM | +1 301 978 5263